



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bret S. Weber et al.
Application No.: 09/607,300
Filed: June 30, 2000

Group No.: 2187
Examiner: K. Takeguchi

For: METHODS AND STRUCTURES FOR AN EXTENSIBLE RAID
STORAGE ARCHITECTURE

MS RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Va 22313-1450

RECEIVED

MAY 21 2003

Technology Center 2100


CERTIFICATE OF MAILING

Date of Deposit: 15 May 2003

I hereby state that the following *attached* papers

- 1) Request for Continued Examination (RCE) Transmittal, with copy for fee processing (2 pages)
- 2) Fee Transmittal including copy for fee processing (2 pages)
- 3) Copy of Response to Final Office Action and filing documents, previously filed 18 April 2003 (16 pages)
- 4) Certificate of Mailing (1 page)
- 5) Return Postcards (2)

are being deposited with the United States Postal Service service under 37 CFR 1.8, on the date indicated above and is addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Michelle Ford

Practitioner's Docket No. 98-063 [395930]

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bret S. Weber et al.

Application No.: 09/607,300
Filed: June 30, 2000

Group No.: 2187
Examiner: Takeguchi, Kathy K.

For: **METHODS AND STRUCTURES FOR AN EXTENSIBLE RAID STORAGE
ARCHITECTURE**

Box: AF
Honorable Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAY 21 2003

CERTIFICATE OF MAILING

Technology Center 2100

Date of Deposit: 18 April, 2003

I hereby state that the following *attached* papers

1. Transmittal Form (1 page)
2. Response to Final Office Action mailed 11 March 2003 (14 pages)
3. Certificate of Mailing (1 page)
4. Return Postcards (2)

are being deposited with the United States Postal Service service under 37 CFR 1.8, on the date indicated above and is addressed to: Box: AF Honorable Commissioner for Patents, Washington, D.C. 20231.


Heather Bailey

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(Use only for all correspondence after initial filing)

Application Number	09/607,300
Filing Date	June 30, 2000
First Named Inventor	Bret S. Weber
Group Art Unit	2187
Examiner Name	Takeguchi, Kathy K.
Attorney Docket Number	98-063

RECEIVED

MAY 21 2003

Technology Center 2100

Total Number of Pages in This Submission

16

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response

☒ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Certificate of Mailing; Return Postcards (2)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Daniel N. Fishman, Reg. No. 35,512
Lathrop & Gage, L.C.

Signature

Date

18 APR 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box AF Honorable Commissioner for Patents, Washington, D.C. 20231 on this date: April 18, 2003

Typed or printed name

Heather Bailey

Signature

Date

4/18/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 750

Complete if Known

Application Number 09/607,300
 Filing Date 30 June 2003
 First Named Inventor Bret S. Weber et al.
 Examiner Name K. Takeguchi
 Art Unit 2187
 Attorney Docket No. 98-063

RECEIVED

MAY 21 2003

Technology Center 2100

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
 Order

☒ Deposit Account:

Deposit
Account
Number

12-2252

Deposit
Account
Name

LSI Logic Corporation

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$0)

2. EXTRA CLAIM FEES

Total Claims -20 ** = 0 X = 0
 Independent Claims -3 ** = 0 X = 0
 Multiple Dependent X = 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	750
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$750)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Daniel N. Fishman Registration No. Attorney/Agent 35,512 Telephone (720) 931-3000
 Signature *[Signature]* Date 15 MAY 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.